



State of North Carolina

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February, 2016

North Carolina Senate President Pro Tempore Phil Berger
North Carolina House of Representatives Speaker Tim Moore

North Carolina General Assembly
Raleigh, North Carolina 27601-1096

Re: Report on Contingency Fee Contracts with Private Attorneys

Dear Members:

In accordance with General Statute § 114-9.7(d), please find the attached report which provides the required annual reporting of state agency contingency fee contracts with a private attorney for the period Jan. 1, 2015 through December 31, 2015. During this period the Attorney General's office has not entered into any contingency fee contracts with a private attorney. During this period two state agencies requested outside counsel under G.S. § 114-9. This report includes all matters submitted from agencies which are required to obtain written permission or approval from the Attorney General under G.S. § 114-2.3.

Department of Revenue

Contracted attorney: John D. Guerrini of The Guerrini Law Firm

Name, nature and status of Matter: Legal services related to the collection of NC tax debts; services are ongoing

Parties to the Matter: NC Department of Revenue, Collection Division

Recovery amount: ongoing, NC Department of Revenue maintains records

Payments: ongoing, NC Department of Revenue maintains records

Office of the State Treasurer

Contracted attorney: Jeremy Lieberman of Pomerantz LLP.

Name, nature and status of Matter: Petrobras Securities Litigation. This action is to pursue claims for investment losses related to the allegations against Defendant for violating US securities laws; Case No. 14-CV-9662 is pending in US District Court for the Southern District of New York

Parties to the Matter: Lead plaintiff, Universities Superannuation Scheme LLC (USS); lead class counsel, Pomerantz LLP, on behalf of NC Department of State Treasurer.

Recovery amount: Matter still pending, NC Department of State Treasurer maintains records

Payments: Matter still pending, NC Department of State Treasurer maintains records

We will be happy to respond to any questions you may have regarding this report.

Very truly yours,


Kristi Jones
Chief of Staff

cc: Kristine Leggett, NCGA Fiscal Research Division
Christy Agner, NCDOJ, Legislative Liaison